

Client Suitability Profile & Financial Information

Client Name(s): _____

Risk Tolerance

1. Is your focus for investment account(s) primarily described as:

- a. Preservation of principal
- b. Generation of current income
- c. Blend of income generation and some growth
- d. Growth of the portfolio

2. When do you anticipate the need to have funds available for your use?

- a. 0 to 5 years
- b. 5 to 10 years
- c. Over 10 years

3. What do you plan to do with dividends and interest generated by portfolio(s)?

- a. Receive all income to supplement living expenses
- b. Receive some income and reinvest some income
- c. Take no income, reinvest all back into long term growth of the portfolio

4. What is the primary objective for investment account(s)?

- a. Home purchase
- b. Long term wealth accumulation
- c. Retirement planning
- d. Other needs _____

Client Profile

Do you anticipate future contributions to your account(s)? Yes No

Are you currently retired? Yes No

If not, when is your targeted retirement date? _____

Current Annual Income: \$ _____

Estimated monthly spending: \$ _____

Do you have a target amount of income you would like to receive from the portfolio annually?

Currently (annual): \$ _____

In Retirement (annual after tax): \$ _____

Which estate planning documents do you have in place? (Will, Trust, Power of Attorney, etc.)?

Attorney: _____

CPA: _____

Financial Planner: _____

Financial Profile

Primary Residence Value: \$ _____ Mortgage: \$ _____

Other Real Estate: _____

Other Assets Outside KCM: _____

Life Insurance: Value: \$ _____ Disability Policy: \$ _____

Do you have an umbrella policy? Value: _____

I affirm that the information furnished herein is correct and may be relied upon by GPTC in making any investment recommendations. To the extent that the requested information is not provided by me, GPTC is relieved of its obligation to consider such information in its determination of investment suitability. I acknowledge and understand that any statements of financial goals or objectives by me does not imply any guarantee by GPTC as to the attainment of such goals or objectives.

Client Signature

Client Signature

Date: _____